# Modeling uncertainties of $t\bar{t}W^{\pm}$ signatures

#### **Manfred Kraus**

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# Outline

#### Motivations for $t\bar{t}W$ at the LHC

- the need for high precision
- state of the art

## **Modeling of** $t\bar{t}W$

- on-shell  $t\bar{t}W^{\pm}$  with parton showers
- full off-shell results
- A first comparison of both approaches

#### Summary & Outlook

# Motivations for $t\bar{t}W$ at the LHC

 $t\bar{t}W^{\pm}$  offers one of the rarest and most complex signatures in the SM

• Irreducible background to BSM searches

e.g. SUSY

• anomalous top-quark couplings, EFT interpretations

- [ATLAS, arXiv:1602.09058]
- [ATLAS, arXiv:1706.03731]
  - [CMS, arXiv:1605.03171]
  - [CMS, arXiv:1704.07323]

[Dror et al, arXiv:1511.03674]



• Dominant background for SM *ttH* and *tttt* multi-lepton signatures

[ATLAS,arXiv:2007.14858]

#### Top quarks are produced highly polarized

large charge asymmetries of top decay products

Symmetric gg channel only opens up at NNLO



**LO:**  $q\bar{q}'$  **NLO:**  $q\bar{q}' + qg$  **NNLO:**  $q\bar{q}' + qg + gg$ 



[Bevilacqua, Bi, Hartanto, MK, Nasufi, Worek'21]

[Maltoni et al., arXiv:1406.3262]

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#### inclusive $t\bar{t}W^{\pm}$ and $t\bar{t}Z$ cross section measurements at $\sqrt{s} = 13$ TeV



Both experiments see an excess of  $t\bar{t}W$  events wrt to the Standard Model

#### Dominant background for SM $t\bar{t}H$ and $t\bar{t}t\bar{t}$ multi-lepton signatures



ATLAS, arXiv:2007.14858

ATLAS-CONF-2019-045

A significant normalisation of the  $t\bar{t}W$  background ~ 1.3 – 1.7 is necessary

#### NLO fixed order

- NLO QCD + EW: inclusive production
- $\rightarrow$  stable top-quarks
- NLO QCD: on-shell decay  $\times$  production
- $\rightarrow$  QCD corrections to production and decy, spin correlations
- NLO QCD + EW: complete off-shell
- $\rightarrow$  (non-) resonant diagrams, finite width-effects

[Bevilacqua, Bi, Hartanto, MK, (Nasufi), Worek'20 ('21)]

[Denner and Pelliccioli'20] [Denner and Pelliccioli'21]

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek'21]

#### NLO + resummation

-NLO+NNLL QCD + EW: inclusive production[Li et al'14, Broggio et al'16]→ stable top-quarks[Broggio et al'19, Kulesza et al'18'20]

#### NLO + parton shower

NLO+PS QCD + EW: on-shell [Garzelli et al'12, Maltoni et al'14'15]
→ top decays at LO [Frederix and Tsinikos'20] [Febres Cordero, MK, Reina'21]
Multi-jet merging [von Buddenbrock et al'20, ATLAS'20] [Frederix and Tsinikos'21]

[Hirschi et al'11, Maltoni et al'15]

[Frixione et al'15, Frederix et al'17]

[Campbell and Ellis'12]

# Complete NLO QCD + EW corrections - I



#### Perturbative corrections

- $\mathfrak{O}(\alpha_s^3 \alpha) (50\%)$  dominant NLO QCD corrections
- $\mathcal{O}(\alpha_s^2 \alpha^2) (-4\%)$  mixed QCD-EW corrections
- $\mathfrak{O}(\alpha_s \alpha^3) (10\%)$  NLO QCD corrections !!!
- $\mathfrak{O}(\alpha^4)$  sub per mill NLO EW corrections

[Frederix et al arXiv:1711.02116]

# Complete NLO QCD + EW corrections - II

• Origin of large QCD corrections at  $O(\alpha_s \alpha^3)$  ?



QCD







# Soft-gluon Resummation

- Soft-gluon resummation @ NNLL
- no gg channel for  $t\bar{t}W^{\pm}$
- Scale dependence of total cross sections
  - significant reduction for  $t\bar{t}H/t\bar{t}Z$
  - marginal impact on  $t\bar{t}W^{\pm}$
- Impact of resummation:  $K_{NNLL} \lesssim 1.06$
- Small impact on diff. distributions



[Kulesza et al. arXiv:2001.03031]



[Broggio et al arXiv:1907.04343]



[von Buddenbrock et al arXiv:2009.00032]

[ATL-PHYS-PUB-2020-024]

- FxFx NLO vs. MEPS@NLO multi-jet merging → consistent schemes
- multi-jet merging improves slightly tension with LHC measurements

# on-shell $t\bar{t}W$ – parton showers

# Modelling of hadron collisions



Event generators have to be improved once data becomes more precise!

#### **Incoming protons**

• Parton distribution functions

#### Hard interaction

• LO, NLO, NNLO predictions

#### Radiation

- Parton shower
- Resonance decays
- Matching to NLO, NNLO

# Hadronization

- Phenomenological models
- Hadronic decays

# **Underlying event**

• Multi parton interactions

# Beyond Leading order

Why fixed-order calculations are not always enough:



✓ NLO normalization

- ★ large logarithms e.g log( $p_T^2(t\bar{t})/\hat{s}$ )
- ✗ simulates only few final state partons
- X Needs a bridge to hadronization

#### Parton showers can improve all these points!

• Higher-order QCD matrix elements factorize in the collinear limit



$$|M_{n+1}|^2 d\Phi_{n+1} \approx |M_n|^2 d\Phi_n \frac{dt}{t} \frac{\alpha_s}{2\pi} P_{ij}(z) dz d\phi \qquad (\star$$

- *t*: evolution variable e.g  $k_T$ , angle  $\theta$ , virtuality  $Q^2$ , ...
- *z*: momentum fraction of the emitted parton
- *P*<sub>*ij*</sub>: Altarelli-Parisi splitting kernels
- For infinite many emissions Eq.  $(\star)$  yields

$$\sigma^{\text{LO+PS}} = \int d\Phi_n B(\Phi_n) \left[ \Delta(t_0, t_{\text{max}}) + \sum_{ij} \int_{t_0}^{t_{\text{max}}} \frac{dt}{t} \frac{\alpha_s}{2\pi} \int dz P_{ij}(z) \Delta(t, t_{\text{max}}) \right]$$

with the Sudakov form factor

$$\Delta(t_0, t_{\max}) = \exp\left[-\int_{t_0}^{t_{\max}} \frac{dt}{t} \frac{\alpha_s}{2\pi} \int dz P_{ij}(z)\right]$$



- $\checkmark$  more realistic description in the low  $p_T$  region
- ✗ only LO accurate
- × high  $p_T$  region reliably described only by NLO calculation

#### Combining NLO calculation and parton shower: NLO+PS matching

- $\checkmark$  avoid double counting
- $\checkmark$  preserve NLO accuracy
- popular methods:
  - o MC@NLO [Frixione, Webber hep-ph/0204244]
  - POWHEG [Nason hep-ph/0409146]

Also: Merging matched calculation for different multiplicities  $(t\bar{t} + 0j, t\bar{t} + 1j, t\bar{t} + 2j, ...) \rightarrow \text{NOT}$  discussed in this talk.

# The POWHEG method in a nutshell - I

• Start from NLO fixed-order cross section

$$\sigma^{\text{NLO}} = \int d\Phi_n \Big[ B(\Phi_n) + V(\Phi_n) \Big] + \int d\Phi_{n+1} R(\Phi_{n+1})$$

• Split real radiation in *soft* and *hard* contributions

$$R(\Phi_{n+1}) = \underbrace{F(\Phi_{n+1})R(\Phi_{n+1})}_{\equiv R_s(\Phi_{n+1})} + \underbrace{\left[1 - F(\Phi_{n+1})\right]R(\Phi_{n+1})}_{\equiv R_h(\Phi_{n+1})}$$

• 
$$F(\Phi_{n+1})$$
 to a large extend arbitrary

$$F(\Phi_{n+1}) = F_{damp}(\Phi_{n+1}) F_{bornzero}(\Phi_{n+1})$$

• Standard choices in the POWHEG-BOX

$$F_{\text{damp}}(\Phi_{n+1}) = \frac{h_{\text{damp}}^2}{h_{\text{damp}}^2 + p_T^2} , \qquad F_{\text{bornzero}}(\Phi_{n+1}) = \Theta\left(h_{\text{bornzero}} - \frac{R(\Phi_{n+1})}{P_{ij}(\Phi_r) \otimes B(\Phi_n)}\right)$$

# The POWHEG method in a nutshell – II

• one step parton shower approximation

$$\sigma^{\text{NLO+PS}} = \int d\Phi_n \overline{B}(\Phi_n) \underbrace{\left[ \Delta(\Phi_n, p_T^{\min}) + \int d\Phi_r \frac{R_s(\Phi_{n+1})}{B(\Phi_n)} \Delta(\Phi_n, p_T) \right]}_{=1}$$
$$+ \int d\Phi_{n+1} R_h(\Phi_{n+1})$$

• Inclusive NLO and infrared finite cross section

$$\overline{B}(\Phi_n) = B(\Phi_n) + V(\Phi_n) + \int d\Phi_r R_s(\Phi_n)$$

• Modified Sudakov form factor

$$\Delta(\Phi_n, p_T) = \exp\left(-\int d\Phi_r \frac{R_s(\Phi_n, \Phi_r)}{B(\Phi_n)} \Theta\left(k_T(\Phi_n, \Phi_r) - p_T\right)\right)$$

	POWHEG-BOX	MG5_aMC@NLO	Sherpa
$\mathfrak{O}(\alpha_s^3 \alpha)$	POWHEG	MC@NLO	MC@NLO
$O(\alpha_s \alpha^3)$	POWHEG	MC@NLO	tree-level merg.
Decay	spin/no spin	MadSpin	spin-density mat.
Shower	Pythia8	Pythia8	CS shower

#### **Comparative analysis: Two same-sign leptons**

$$\begin{split} p_T(\ell) &> 15 \; \text{GeV} \;, \qquad |\eta(\ell)| < 2.5 \;, \\ p_T(j) &> 25 \; \text{GeV} \;, \qquad |\eta(j)| < 2.5 \;, \\ N_{l\text{-jets}} &\geq 2 \;, \qquad N_{b\text{-jets}} \geq 2 \;, \\ \text{anti} &-k_T \;, \qquad R = 0.4 \end{split}$$

Not addressed in this study:

- Effects from Multi-parton interactions
- Hadronization effects

#### two same-sign leptons

[Febres Cordero, MK, Reina arXiv:2101.11808]



Good agreement within uncertainties

#### two same-sign leptons

[Febres Cordero, MK, Reina arXiv:2101.11808]



- EW contribution sizeable if sensitive to forward jets
- For most observables: flat +10% correction

#### two same-sign leptons

[Febres Cordero, MK, Reina arXiv:2101.11808]



- Polarization effects modify shape by 10%
- Stronger effects for  $t\bar{t}W^+$  and  $t\bar{t}W^-$  separately

# Beyond stable top quarks

# Beyond stable top quarks

• full off-shell contributions to  $t\bar{t}W^+$ 



• Narrow-width approximation (NWA)

$$\frac{1}{(p^2 - m_t^2)^2 + m_t^2 \Gamma_t^2} \to \frac{\pi}{m_t \Gamma_t} \delta(p^2 - m_t^2) + \mathcal{O}\left(\frac{\Gamma_t}{m_t}\right)$$

Keeps only double resonant contributions

- How large are these effects at the differential level?
- What is the impact of QCD corrections on the top decay?

# off-shell $t\bar{t}W$ - differential cross sections

#### Impact of radiative top decays in $pp \rightarrow e^+ v_e e^- \bar{v}_e e^+ v_e b\bar{b} @\sqrt{s} = 13 \text{ TeV}$



- Large off-shell effects in the tails of the distributions
- Differences between NWA and NWA<sub>LOdec</sub> are O(10%) in the bulk

[Bevilacqua, Bi, Hartanto, MK, Worek, arXiv:2005.09427]

#### Leptonic charge asymmetry

$$A_c^{\ell} = \frac{\sigma_{\rm bin}^+ - \sigma_{\rm bin}^-}{\sigma_{\rm bin}^+ + \sigma_{\rm bin}^-}, \qquad \sigma_{\rm bin}^{\pm} = \int \theta(\pm \Delta |y|) \,\theta_{\rm bin} \,d\sigma, \qquad \Delta |y| = |y_{\ell_t} - y_{\ell_t^-}|$$



• Decay modelling has large impact on charge asymmetry

[Bevilacqua, Bi, Hartanto, MK, Nasufi, Worek, arXiv:2012.01363]

# Comparison of different approaches

# Comparison of different approaches

#### How to model multilepton final states?

# $(pp \rightarrow b\bar{b}\ell^{\pm}\nu_{\ell}\ell^{\pm}\nu_{\ell})$

#### fixed-order

- top decay at NLO
- exact spin correlations
- double, single and non-resonant contributions or NWA
- only one extra parton

#### parton showers

- Additional radiation
- Hadronization
- More flexible
- NLO only for production
- LO spin correlations

#### How compatible are the different descriptions?

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

• 3 lepton final states

 $p_T(j) > 25 \text{ GeV} , \qquad |\eta(j)| < 2.5 ,$  $p_T(\ell) > 25 \text{ GeV} , \qquad |\eta(\ell)| < 2.5 , \qquad \Delta R(\ell \ell) > 0.4 , \qquad \Delta R(\ell j) > 0.4$ 

- Jets defined via anti- $k_T$  jet algorithm with R = 0.4
- *b* jets are defined as jets having a *b* parton inside
- Required at least 2*b* jets
- Parton shower: Pythia8

Not addressed in this study:

- Effects from Multi-parton interactions
- Hadronization effects

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

• full off-shell

$$\sigma_{\text{off-shell}}^{\text{NLO}} = 1.58_{-0.10}^{+0.05} \, {}^{(3\%)}_{(6\%)} \, \text{fb}$$

• NWA

$$\sigma_{\rm NWA}^{\rm NLO} = 1.57^{+0.05~(3\%)}_{-0.10~(6\%)}~{\rm fb}~, \qquad \qquad \sigma_{\rm NWA~LOdec}^{\rm NLO} = 1.66^{+0.17~(10\%)}_{-0.17~(10\%)}~{\rm fb}~,$$

• QCD corrections in top decay negative  $\rightarrow -5\%$ 

• subtle interplay between scale variation in prod. and decay

• Parton shower

$$\sigma_{PWG}^{NLO+PS} = 1.40_{-0.15 \ (11\%)}^{+0.16 \ (11\%)} \ \text{fb} \ , \qquad \qquad \sigma_{MG5}^{NLO+PS} = 1.40_{-0.15 \ (11\%)}^{+0.16 \ (11\%)} \ \text{fb} \ ,$$

- similar uncertainties as NWA LOdec
- 11% reduction due to multiple emissions in top decays!

$$pp \rightarrow t\bar{t}W^{\pm}$$
 QCD - II



- Large single-resonant  $pp \rightarrow tWWb$  contribution in the tail
- top decay @ NLO QCD important for the bulk of the distribution
- Large shower corrections

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

$$pp \rightarrow t\bar{t}W^{\pm}$$
 QCD - III



- NWA reproduces distribution obtained with full off-shell effects
- top decay only has minor influence for low  $p_T$
- Shower based results have different shapes

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

• full off-shell

$$\sigma_{\text{off-shell}}^{\text{NLO}} = 0.206^{+0.045}_{-0.034} \stackrel{(22\%)}{_{(17\%)}} \text{fb}$$

• NWA

$$\sigma_{\rm NWA}^{\rm NLO} = 0.190^{+0.041~(22\%)}_{-0.031~(16\%)}~{\rm fb}~, \qquad \qquad \sigma_{\rm NWA~LOdec}^{\rm NLO} = 0.162^{+0.035~(22\%)}_{-0.026~(16\%)}~{\rm fb}~,$$

- QCD corrections in top decay positive  $\rightarrow +17\%$
- scale variation dominanted by *qg* initial state processes
- 8% difference wrt full off-shell due to  $WW \rightarrow WW$  contributions!

• Parton shower

$$\sigma_{\rm PWG}^{\rm NLO+PS} = 0.133^{+0.028\;(21\%)}_{-0.021\;(16\%)}\;{\rm fb}\;, \qquad \qquad \sigma_{\rm MG5}^{\rm NLO+PS} = 0.136^{+0.028\;(21\%)}_{-0.022\;(16\%)}\;{\rm fb}\;,$$

- similar uncertainties as NWA and full off-shell
- Reduction due to multiple emissions in top decays!
- significantly smaller than full off-shell prediction!

 $pp \rightarrow t\bar{t}W^{\pm} \text{ EW - II}$ 



Effects more extreme for the EW production mode



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 $pp \rightarrow t\bar{t}W^{\pm} \text{ EW}$  - III



Effects more extreme for the EW production mode

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

• In the absence of full off-shell @ NLO+PS can we do something more?

What do we have at hand?

• In the absence of full off-shell @ NLO+PS can we do something more?

#### What do we have at hand?

- $\frac{d\sigma^{\text{NLO+PS}}}{dX} \begin{cases} \bullet & \text{Many non-trivial kinematic effects} \\ \bullet & \text{Corrections beyond fixed-order} \\ \bullet & \text{Hadronization} \end{cases}$

• In the absence of full off-shell @ NLO+PS can we do something more?

#### What do we have at hand?

 $\frac{d\sigma^{\text{NLO+PS}}}{dX}$  $\frac{d\sigma^{\text{NLO}}_{\text{NWA}}}{dX}$ 

- Many non-trivial kinematic effects
- Corrections beyond fixed-order
- Hadronization
- Double resonant contributions
- top decay @ NLO QCD
- exact spin correlations

• In the absence of full off-shell @ NLO+PS can we do something more?

#### What do we have at hand?

 $\frac{d\sigma^{\text{NLO+PS}}}{dX}$  $\frac{d\sigma^{\text{NLO}}_{\text{NWA}}}{dX}$  $\frac{d\sigma^{\text{NLO}}_{\text{off-shell}}}{dX}$ 

- Many non-trivial kinematic effects
- Corrections beyond fixed-order
- Hadronization
- Double resonant contributions
- top decay @ NLO QCD
- exact spin correlations
- Double, single + non-resonant contributions
- top decay @ NLO QCD
- finite width effects

• In the absence of full off-shell @ NLO+PS can we do something more?

#### What do we have at hand?



•	Many non-trivial kinematic effects
•	Corrections beyond fixed-order
•	Hadronization
•	Double resonant contributions
•	top decay @ NLO QCD
•	exact spin correlations
•	Double, single + non-resonant contributions
•	top decay @ NLO QCD
•	finite width effects

#### **Combine predictions via**

$$\frac{d\sigma^{\rm th}}{dX} = \frac{d\sigma^{\rm NLO+PS}}{dX} + \frac{d\Delta\sigma_{\rm off-shell}}{dX} , \qquad \frac{d\Delta\sigma_{\rm off-shell}}{dX} = \frac{d\sigma^{\rm NLO}_{\rm off-shell}}{dX} - \frac{d\sigma^{\rm NLO}_{\rm NWA}}{dX}$$



Sizable corrections for the tail of distributions

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

$$pp \rightarrow t\bar{t}W^{\pm}$$
 QCD+EW - II



- EW part receives sizable corrections
- overall minor impact as single-res. contributions are small
- Sizable shape differences between predictions

[Bevilacqua, Bi, Febres Cordero, Hartanto, MK, Nasufi, Reina, Worek arXiv:2109.15181]

Summary & Outlook

### **Phenomenology of** $pp \rightarrow t\bar{t}W^{\pm}$ **at the LHC**

- Much progress has been made in recent months
- Comparison of fixed-order and NLO+PS presented
  - Difficult to say which is the *better* one
  - each has advantages and disadvantages
- We proposed a simple combination to get the best of both worlds!
  - full off-shell at NLO+PS would be great to have!

#### Where do we go from here?

- Two same-sign leptons:
  - NLO QCD corrections for  $W \rightarrow q\bar{q}'$  are inevitable
- Multi-lepton signatures:
  - NNLO QCD and full NWA for  $pp \rightarrow t\bar{t}W^{\pm}$  are necessary

Backup

# The POWHEG method in a nutshell - I

• Start from NLO fixed-order cross section

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$$R(\Phi_{n+1}) = \underbrace{F(\Phi_{n+1})R(\Phi_{n+1})}_{\equiv R_s(\Phi_{n+1})} + \underbrace{\left[1 - F(\Phi_{n+1})\right]R(\Phi_{n+1})}_{\equiv R_h(\Phi_{n+1})}$$

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$$F(\Phi_{n+1}) = F_{damp}(\Phi_{n+1}) F_{bornzero}(\Phi_{n+1})$$

• Standard choices in the POWHEG-BOX

$$F_{\text{damp}}(\Phi_{n+1}) = \frac{h_{\text{damp}}^2}{h_{\text{damp}}^2 + p_T^2} , \qquad F_{\text{bornzero}}(\Phi_{n+1}) = \Theta\left(h_{\text{bornzero}} - \frac{R(\Phi_{n+1})}{P_{ij}(\Phi_r) \otimes B(\Phi_n)}\right)$$

# The POWHEG method in a nutshell – II

• one step parton shower approximation

$$\sigma^{\text{NLO+PS}} = \int d\Phi_n \overline{B}(\Phi_n) \underbrace{\left[ \Delta(\Phi_n, p_T^{\min}) + \int d\Phi_r \frac{R_s(\Phi_{n+1})}{B(\Phi_n)} \Delta(\Phi_n, p_T) \right]}_{=1}$$
$$+ \int d\Phi_{n+1} R_h(\Phi_{n+1})$$

• Inclusive NLO and infrared finite cross section

$$\overline{B}(\Phi_n) = B(\Phi_n) + V(\Phi_n) + \int d\Phi_r R_s(\Phi_n)$$

• Modified Sudakov form factor

$$\Delta(\Phi_n, p_T) = \exp\left(-\int d\Phi_r \frac{R_s(\Phi_n, \Phi_r)}{B(\Phi_n)} \Theta\left(k_T(\Phi_n, \Phi_r) - p_T\right)\right)$$

# Two-loop planar master topologies

Planar master integrals



Number of master integrals per topology

 $\dim(I_1) = 128$ ,  $\dim(I_2) = 117$ ,  $\dim(I_3) = 139$ .